4-9.03



### Docket No. 39780-2830P1C58 **PATENT**

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Baker et al.

Docket No.:

39780-2830P1C58

Serial No.:

10/015,392

Group Art Unit:

1646

Filing Date:

December 12, 2001

Examiner:

Unassigned

For:

SECRETED AND TRANSMEMBRANE POLYPEPTIDES AND

NUCLEIC ACIDS ENCODING THE SAME

Commissioner for Patents Washington, D.C. 20231

#### **TRANSMITTAL**

 $\boxtimes$ Transmitted herewith are the following documents:

- **(1)** Transmittal;
- (2) Amendment;
- (3) Statement under 37 C.F.R. §§ 1.821(f) and (g);
- (4) Copy of Notice to Comply with Requirements for Patent Applications Containing Nucleotide Sequence and/or Amino Acid Sequene Disclosures; and
- (5) Substitute computer readable form (CRF) copy of the sequence listing.
- $\boxtimes$ The Commissioner is authorized to charge any required fees, or credit any overpayment to Deposit Account No. 08-1641.
- $\boxtimes$ Attached is a postcard for date-stamped return as confirmation of receipt of these materials.

CERTIFICATE OF MAILING (37 CFR 1.10(a))

CERTIFICATE OF MAILING BY "EXPRESS MAIL" - Rule 10: I hereby certify that this correspondence is being deposited on April 7, 2003 with the U.S. Postal Service "Express Mail Post Office to Addressee" under 37 CFR 1.10 as Express Mail No. EV194296741US, Box Sequence Listing, Commissioner for Patents, Washington, D.C. 20231

Date: April 7, 2003

Respectfully submitted,

Date: April 7, 2003

Ginger R. Dreger Reg. No. 33,055

## HELLER EHRMAN WHITE & MCAULIFFE LLP

Customer No. 35489 275 Middlefield Road Menlo Park, CA 94025 Telephone: (650) 324-7000

Facsimile: (650) 324-0638



Commissioner for Patents Washington, OC. 20231 www.uspto.gov

APPLICATION NUMBER FILING/RECEIPT DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NUMBER

10/015,392

2040 MAIN STREET

FOURTEENTH FLOOR IRVINE. CA 92614

KNOBBE, MARTENS, OLSON & BEAR, LLP

30313

12/12/2001

Kevin P. Baker

P2830P1C58

**CONFIRMATION NO. 9874** 

**FORMALITIES LETTER** 

\*OC00000009487396\*

Date Mailed: 02/06/2003

# NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Filing Date Granted

Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of
the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as
indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a
substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content
of the sequence listing information recorded in computer readable form is identical to the written (on paper
or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR
1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase Patentin Software, call (703) 306-2600
- For Patentin Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE